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Comentarios (0)

## Ricardo Martinez Rivera

Capacitador

### Áreas de conocimiento

Gestión de riesgo.

### Descripción del perfil

Risk, compliance, and audit (RCA) professional with in-depth experience within the banking, including advisory to global systemically important banks (GSIBs) and systemically important financial institutions (SIFIs) in improving internal controls, operational risk management (ORM), stress testing, and Bank Secrecy Act (BSA)/Anti-Money Laundering (AML) capabilities. He follows the three (3) lines of defense model when successfully implementing risk management programs, policies, and procedures, as well as improving the internal control environment in industries ranging from: (1) banking/capital markets governance, risk and compliance (GRC) assurance (2) international trade regulatory compliance examinations (China/Vietnam corporations), including cost accounting, and financial statement analysis (3) non-profit financial/treasury management, budgeting, and managerial accounting Also, he is regarded for his ability to leverage process improvement and quantitative analysis to deliver value to organizations by a proven financial turnaround and risk maturity enhancement. Skills include: Internal Audit Standards CCAR/DFAST Stress Tests, Operational Risk Management (ORM) Basel Principles COSO ERM Sarbanes Oxley (SOX) Section 404 Controls Risk and Control Self-Assessment (RCSA) Risk and Control Matrix (RCM) Regulatory Inventory and Compliance Testing Issue Management/Corrective Action Plan (CAP) Root Cause Analysis AML Transaction Monitoring SAS Analytics and Excel Financial Statement Analysis/Cost Accounting He has authored publications in the U.S. Federal Register (see Publications). He also holds a Master in Business Administration (MBA), and is fluent in Spanish, English, and French. Opinions are his own, and not of his employer

### Experiencias

**Assistant Vice President Internal Audit - Operational Risk Management**

Citi

2018 - Actual

**Risk, Compliance, and Audit Manager - Risk Lead**

Elavon, Inc.

2016 - 2018

**Consultor Asociado**

The Olson Group Ltd.

2016 - 2017

**Senior Risk Management and Compliance Solutions | MMTS FS Banking and Capital Markets**

PwC

2016 - 2016

**Experienced Associate | Risk Assurance | Financial Services | Performance GRC**

PwC

2014 - 2015

**VP Finance**

NSHMBA

2012 - 2014

**Compliance Analyst | International Trade**

U.S. Department of Commerce | Enforcement and Compliance | China NME Unit

2010 - 2014

**Consultant - Intern**

Bloom Consulting

2007 - 2007

## Estudios

**Advanced Certificate, Accounting and Auditing**

Graduate School USA

2014

**Alexandria Citizens Academy**

City Government & Management, City/Urban, Community and Regional Planning

2013

**MBA, Business Administration, International Business, Economics & Finance**

IE Business School

2008

**Bachelor's of Art, International Affairs/Economics**

University of Puerto Rico, Rio Piedras

2005

**No definido**

The Washington Center for Internships and Academic Seminars

2003

**High School/Secondary Diplomas and Certificates**

Escuela Superior Luis Muñoz Rivera

2002

## Perfil en Mentes a la Carta

https://www.mentesalacarta.com/conferencistas-capacitadores-coaches/ricardo-martinez